



IRA DEPOSIT SLIP

(For IRA Deposits Only)

Instructions:

1. Fill out the Deposit Slip completely. Make checks payable to: Penson Financial Services Inc. and write your account number(s) on the face of your check.
2. If you are making an IRA contribution, fill out the appropriate section completely.
3. Penson Financial Services Inc. generally does not accept 3rd Party Checks, Credit Card Checks, or Line of Credit Checks. A 3rd Party Check is any check drawn on an account other than that of the owner of the account serviced by Penson Financial Services Inc. All deposits will be held for 10 business days before available to be disbursed.

_____ \$ _____
Penson Account Number Deposit Amount

Name on Penson Account

Check One

<input type="checkbox"/> Regular Contribution	Contribution for Tax Year _____ (If left blank will be deposited for current year.)
<input type="checkbox"/> Employer Contribution	Contribution for Tax Year _____ (If left blank will be deposited for current year.) Note: All SEP contributions are reported the year of deposit)
<input type="checkbox"/> Employee Contribution	Contribution for Tax Year _____ (If left blank will be deposited for current year.)
<input type="checkbox"/> Direct Transfer	Transferred from another firm where it was held with identical account type and or title.
<input type="checkbox"/> Rollover	Qualifying Direct Rollover from my Employer's Plan ex. 401(k), 403(b), Profit Sharing Plan, Money Purchase Pension Plan, etc or 60 Day Rollover. For 60 Day Rollover, the assets and account type must match as they were distributed less than 60 days ago. Account Owner attests to the following: that the funds deposited as an Irrevocable Qualifying Rollover do not contain any amounts from a Required Minimum Distribution; that these funds are being deposited within the allowable sixty day time period; and that the Account Owner is allowed only one rollover per twelve month period. Account owner further agrees to be bound the election of this deposit as an Irrevocable Qualifying Rollover.
<input type="checkbox"/> Fee Payment	This is to offset fees charged due to administrative fees charged by the trustee only.